

Form PTO 1390 (REV 5-93)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER P33070
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED / ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/518,653
INTERNATIONAL APPLICATION NO. PCT/EP03/06756	INTERNATIONAL FILING DATE 25 June 2003	PRIORITY DATE CLAIMED 26 June 2002	
TITLE OF INVENTION COMPOUNDS			
APPLICANT(S) FOR DO/EO/US Jeffrey M. AXTEN, Robert A. DAINES, David T. DAVIES, Timothy F. GALLAGHER, Graham E. JONES, William H. MILLER, Neil D. PEARSON, Israil PENDRAK			

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. (Send Assignment VIA Facsimile)
13. ☐ A **FIRST** preliminary amendment. (Add claim to priority via Preliminary Amendment).
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☒ A Power of Attorney
17. ☐ An Abstract on a separate sheet of paper.
18. ☒ Copy of Form PCT/DO/EO/905.
19. ☒ Other items or information: Statement under 37 CFR 3.73(b) with **copy** of Assignment attached.

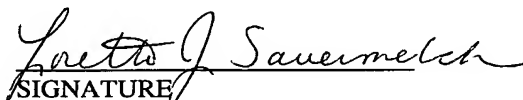
US APPLICATION NO. (if known see 37 CFR 1.50) 10/518,653		INTERNATIONAL APPLICATION NO. PCT/EP03/06756		ATTORNEYS DOCKET NO. P33070	
20. [X] The following fees are submitted:				CALCULATIONS PTO USE ONLY	
() one month extension..... \$ 120 () two months extension..... \$ 450 () three months extension..... \$ 1,020 () four months extension (not beyond statutory time period)..... \$1,590 () fifth month extension..... \$2,160					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than o 20 o 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130.00	
Claims	Number Filed	Number Extra	Rate		
Total claims	14 - 20 =	0	0 x \$50.00	\$0.00	
Independent claims	4 - 3 =	1	1 x \$200.00	\$200.00	
Multiple dependent claims (if applicable)			+ \$300.00	\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$330.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$330.00	
Processing fee of \$130.00 for furnishing the English translation later than o 20 o 30 months from the earliest claimed priority date (37 CFR 1.492(f)) +				\$	
TOTAL NATIONAL FEE =				\$330.00	
				Amount to be refunded	\$
				charged	\$

- a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 19-2570 in the amount of **\$330.00** to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-2570. A duplicate copy of this sheet is enclosed.
- d. ☒ General authorization to charge any and all fees under 37 CFR 1.16 or 1.17, including petitions for extension of time relating to this application. (37 CFR 1.136 (a)(3)).

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

GLAXOSMITHKLINE
 Corporate Intellectual Property - UW2220
 P.O. Box 1539
 King of Prussia, PA 19406-0939
 Phone (610) 270-6854
 Facsimile (610) 270-5090


 SIGNATURE
 Loretta J. Sauermelch
 NAME
 37,347
 REGISTRATION NO.

document5

04/07/2006 GFREY1 00000005 192570 10518653

01 FC:1617 130.00 DA
 02 FC:1614 200.00 DA

PATENT
ATTORNEY'S DOCKET NUMBER P33070

TRANSMITTAL LETTER TO THE U.S. DESIGNATED/ELECTED OFFICE
(DO/EO/US) – CONCERNING A FILING UNDER 35 U.S.C 371

INTERNATIONAL APP. NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/EP03/06756	25 June 2003	26 June 2002

TITLE OF INVENTION
COMPOUNDS

APPLICANT(S) FOR DO/US

Jeffrey M. AXTEN, Robert A. DAINES, David T. DAVIES, Timothy F. GALLAGHER,
Graham E. JONES, William H. MILLER, Neil D. PEARSON, Israil PENDRAK


Commissioner for Patents
Mail Stop: PCT
P.O. Box 1450
Alexandria, VA 22313-1450

ATTENTION: DO/US

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Transmittal Letter, Form PTO 1390 and the papers indicated as being transmitted therewith, and Post Card are being deposited with the United States Postal Service on this date April 3, 2006 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV756625854US addressed to the: Commissioner for Patents, Mail Stop: PCT, P.O. Box 1450, Alexandria, Va 22313-1450.

MICHAEL ROMANOWSKI
(Typed or printed name of person mailing paper)


(Signature of person mailing paper)

20462

CUSTOMER NUMBER



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/518,653	Jeffrey M Axten	P33070

INTERNATIONAL APPLICATION NO.

PCT/EP03/06756

20462
 SMITHKLINE BEECHAM CORPORATION
 CORPORATE INTELLECTUAL PROPERTY-US, UW2220
 P. O. BOX 1539
 KING OF PRUSSIA, PA 19406-0939

I.A. FILING DATE	PRIORITY DATE
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06/25/2003

06/26/2002

CONFIRMATION NO. 6839

371 FORMALITIES LETTER



OC000000018262719

Date Mailed: 03/14/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/16/2004
- Copy of IPE Report filed on 12/16/2004
- Preliminary Amendments filed on 12/16/2004
- Information Disclosure Statements filed on 12/16/2004
- Request for Immediate Examination filed on 12/16/2004
- U.S. Basic National Fees filed on 12/16/2004
- Priority Documents filed on 12/16/2004
- Specification filed on 12/16/2004
- Claims filed on 12/16/2004
- Abstracts filed on 12/16/2004

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The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$200 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$330 for a Large Entity:

- \$130 Surcharge.
- Total additional claim fee(s) for this application is \$ 200
 - \$200 for 1 independent claims over 3.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/518,653	PCT/EP03/06756	P33070

FORM PCT/DO/EO/905 (371 Formalities Notice)